



NEBA Financial Solutions

Managing risk. Maximizing returns.



A Global Presence

NEBA Financial Solutions provide bespoke investment opportunities to IFAs and direct investors alike. We develop unique products designed to effectively manage risk, while maximizing yields.

NEBA's proven expertise in financial product design and delivery allows us to produce Structured Investments that are both timely and reflect current market conditions. We pride ourselves on delivering exceptional service and support based on an approach built on transparency, trust and integrity.

With an ever-expanding presence in LATAM, Africa, The Middle East, Europe and Asia, our team are able to provide unparalleled investment insight through our global network of partners. Our truly international capability means that NEBA Financial Solutions can service Financial Advisers and their clients faster and more efficiently, while allowing us to develop closer working relationships and a genuine understanding of their requirements.

Our philosophy is built on a foundation of trust and transparency. We spend time getting to know our clients, their requirements and their investment strategies so that we can tailor suitable products that enhance and compliment their investment strategies.

The Structured Notes that NEBA produce typically have a theme designed to resonate to the investor, for example:

- US Stocks - S&P Top 5
- UK Stocks - FTSE Top 5
- Global Indices
- Safe Stocks Basket, chosen for Market Capitalisation
- Sector Baskets
- Oil Industry Exploration
- Technology Companies
- International Car Makers
- Pharmaceutical Industry
- Golf Brands



Investments Built To Strategy

Creating a Structured Product with a desired return for the investor requires extensive skill, research, insight and market knowledge – assets that the team at NEBA Financial Solutions are proud to boast.

Each asset is painstakingly analysed to take into consideration the risk appetite of investors. We assess the volatility, history and correlation between the combination of assets. We aim to keep the volatility the same across the underlying assets in the product and unlike other investment firms, refuse to consider 'one bad egg in the basket' just to help with the pricing – our recommendations are based on our best assessment for the most profitable outcome.

Partnerships Built on a Foundation Of Trust

Trust is an essential element of our business, which is why we only work with banks that are rated A or higher. Banks are restricted in how they can structure products and the underlying assets they can use. Our extensive knowledge means that we know which banks are the most suitable for the various structures and investment types. This approach ensures the best possible pricing for the client.

RBC Royal Bank	AA Stable
Nomura	A- Stable
BNP Paribas	A+ Stable
UBS	A Stable
Commerzbank	A+ Neg
DBS	AA Stable
EFG International	A+ Stable
Notenstein Privatbank	AA Stable

Unparalleled Support

A variety of factors impact the risk rating of each structured note. To make selecting the most appropriate note for your client a simpler process, the NEBA website shows products by risk rating to help ensure you are picking the correct product by risk attitude.

Additionally, only NEBA Financial Solutions offer a unique set of online tools to make the process of finding, building and selecting the right products simpler for IFAs. No other Structured Notes supplier offers more support, including:



Note Builder

The NEBA Note Builder has proven to be an invaluable sales tool for FA's who need support in finding a suitable product for their clients. Ultimately, the NEBA Note Builder is an online Fact Find designed to allow us build a bespoke product for the client, tailored around their specific requirements.

Reports

Having the latest information is critical in building and retaining trust with your clients. That's why we have provided an extensive Reports functionality on the NEBA website. All of the current product information for each product is available to registered users of the NEBA website allowing you to see how your selected product is performing at a glance.

Analyst Opinions

Keeping up to speed on the latest developments can be a time-consuming process, which is why NEBA provide expert insight in the form of the Analyst Opinions function.

FAs can now tap into the insight of Global Financial Analysts and get their expert view about specific products, and if in their opinion, now is the time to buy sell or hold each underlying asset.



Product Descriptions

The discerning investor will naturally demand the most up to date information on the products that they are considering investing into, and indeed that they are already investing into.

No other Structured Note provider provides more information on their products than NEBA. We provide this high level of service to ensure you and your clients are making the best choice for your investment.

Global Onsite Training

Wherever you are in the world, NEBA are with you every step of the way. We are pleased to provide Financial Advisers with a dedicated Account Manager who will deliver specialist onsite training, including:

- An introduction to Structured Products.
- How to use and sell Structured Products.
- The benefits of Structured Products.
- Selecting the right Structured Products for your clients.

Bespoke Products

Whether you are an IFA looking to support your firms investment strategy with a suite of high quality structured products, or a direct investor keen to invest in a product that matches your specific requirements, Neba Financial Solutions can help.

As one of the world's most trusted providers of structured products we specialise in tailoring investments to suit yours and your clients needs.

Working on your behalf, our team of advisors will take your requirements to the market in order to produce structured notes that are tailored to your request – whether your brief is industry-specific, based on an auto call level or risk to reward ratio.

One-to-One Support

When you need a little extra support in conveying the benefits of a NEBA Financial Solutions-produced Structured Note, we'll be there to support you. Our team are available by phone and email to provide you with the additional insight you may need to help the investor fully comprehend the intricacies of an investment opportunity.

This is also true with the online tools and marketing collateral support that we provide to FAs. We're there to support you every step of the way.

Product Tracking and Reports for Clients

Keeping up to date on the performance of investments is critical to deciding your strategy moving forward. This is why NEBA Financial Solutions' clients can rely on us to deliver a comprehensive suite of tracking and reporting to keep you in the loop, including:

- Regular Existing Product Update Reports
- Weekly New Product Reports
- Autocall Notifications



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